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PTO-1390 (Rev. 07-2005)

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Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 112624.00048 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2004/026437 August 12, 2004 August 12, 2003 TITLE OF INVENTION BIOCOMPATIBLE LINKERS FOR SURFACE PLASMON RESONANCE BIOSENSORS APPLICANT(S) FOR DO/EO/US Karl S. Booksh and Jean-Francois Masson Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. 🔽 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. l 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. l A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete. including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTC-1390 (Rev. 07-2005)
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U.S. APPLICATION NO. 50 TO 5 TO 5 PCT/US2004/026437					ATTORNEY'S DOCKET NUMBER 112624.00048		
20. Other items or information:							
The fol	lowing fees have b	een submitted			CALCULATIONS	PTO USE ONLY	
21. Basic national fee (37 CFR 1.492(a))					<sup>\$</sup> 300		
22. 📝 Exar	mination fee (37 Cl						
by IPEA	nion prepared by IS /US indicates all cl	\$ 200					
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 100		
All other situations							
TOTAL OF 21, 22 and 23 =					\$600		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		n additional 50 or fraction RATE up to a whole number)				
- 100 =	/50 =			× \$250	\$ 0	!	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ O		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	17	- 20 =	0	× \$ 50	\$ 0		
Independent clai		- 3 =	0	× \$200	\$ 0		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360  TOTAL OF ABOVE CALCULATIONS =					\$ 0		
CA Applicant els	ime emall antity et	\$ 600					
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL =					\$ 300		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest							
claimed priority date (37 CFR 1.492(i)). +					\$ 0		
TOTAL NATIONAL FEE =					\$ 300		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +					\$ 0		
TOTAL FEES ENCLOSED =					\$ 300		
					Amount to be refunded:	\$	
					Amount to be charged	\$ 300	

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а. 🗌	A check in the amount of \$ to cover the above	e fees is enclosed.					
b. 🗸	Please charge my Deposit Account No. 17-0055 in the amount of \$ 300.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. 🔽	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No17-0055 A duplicate copy of this sheet is enclosed.						
d. 🗆	Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
Rober QUAR One R	LL CORRESPONDENCE TO: t D. Atkins LES & BRADY STREICH LANG LLP Lenaissance Square th Central Avenue	SIGNATURE Robert D. Atkins NAME					
Phoer	ix, AZ 85004 602-229-5311 FAX: 602- 229-5690	34,288 REGISTRATION NUMBER					

with a f

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# APPROEUPCTIPTO 01 FEB 2006

## **EXPRESS MAIL CERTIFICATE: EV667330067US**

I hereby certify that this correspondence listed below is being deposited with the United States Postal Service on the date set forth below as Express Mail in an envelope addressed to: Mail Stop PCT RO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature

and Deposit: February \_\_\_\_\_\_, 2006

ignature of person depositing mail)

MARITZA O'NEILL

# **CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10**

Applicant(s)

Karl S. Booksh et al.

Serial No.

To be assigned

Date of Filing

February 1, 2006

Title

BIOCOMPATIBLE LINKERS FOR SURFACE PLASMON

RESONANCE BIOSENSORS

Art Unit

To be assigned

Examiner

To be assigned

USPTO Customer No.

26707

Attorney Docket No.

112624.00048

# Mail Stop: PCT RO/US

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### Type of Filing:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (3 pages, in duplicate)
- 2) Preliminary Amendment (3 pages)
- 3) Application Data Sheet (2 pages)
- 4) Executed Declaration (4 pages)
- 5) Executed Power of Attorney, along with a Statement Under 37 CFR 3.73(b) (2 pages)
- 6) Information Disclosure Statement, along with Form PTO-1449 (3 pages)
- 7) References (4)
- 8) Copy of published International Application PCT/US2004/026437
- 9) Return postcard